

Status of Governance Charters and Policies (at Nov 21, 2019)

Policy/Charter	Last Amended (FED)	Last Amended (P&F)	Differences	Required Actions to Achieve Full Alignment
1. Joint Personnel Committee Charter	Dec 21, 2017	Jan 4, 2018	Identical	None
2. Charter for Council Rep. to the Board	Jun 21, 2018	Dec 20, 2018	P&F narrowed the scope of the Charter somewhat after Federated had already approved it.	Review pending
3. Audit Committee Charter	Dec 21, 2017	Oct 3, 2019	Identical	None
4. Board Chair Charter	Dec 20, 2018	Dec 6, 2018	Identical except for paragraph 4: <ul style="list-style-type: none"> For P&F, Chair recommends members and a chair for standing/ad hoc committees and appoints members and chairs of ad hoc committees, but cannot recommend himself or herself as chair of any such committee. For FED, Chair has slightly different authority from above, and is not prohibited from serving as chair of a standing or ad hoc committee. 	Review pending
5. Vice Chair Charter	Dec 20, 2018	Dec 6, 2018	Identical with the following exception: <ul style="list-style-type: none"> The Vice Chair of P&F shall not chair any committee of the Board. 	Review pending
6. Board of Admin Charter	Dec 21, 2017	Jan 4, 2018	Will likely be identical after December JGC meeting.	None after December JGC meeting
7. CEO Charter	Oct 17, 2019	Oct 3, 2019	Identical	None
8. CIO Charter	Oct 17, 2019	Jan 4, 2018	P&F review in progress	P&F review in progress
9. Governance Committee Charter	Dec 21, 2017	Oct 3, 2019	Identical	None
10. Investment Committee Charter	Dec 21, 2017	Jan 4, 2018	Will likely be identical after December JGC except for committee size.	Likely none after December JGC meeting
11. CEO Performance Evaluation Policy	Jun 16, 2016	Jun 16, 2016	Identical	Under review by Joint Personnel Committee
12. CIO Performance	N/A	N/A	CEO Evaluation Policy refers to a corresponding CIO	Under development by the

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Eval. Policy			Evaluation Policy, but has not been established yet.	Joint Personnel Committee
13. Board Communications Policy	Oct 17, 2019	Oct 3, 2019	Identical (Except for Spokesperson)	None (Differences reflect Board preferences)
14. Board Education Policy	Oct 17, 2019	Oct 3, 2019	Identical	None
15. Board Performance Assessment Policy	Oct 17, 2019	Oct 3, 2019	Identical (Except for staggered timing of assessment)	None
16. Board Operations Policy	May 15, 2014	N/A	P&F does not have a Board Operations Policy. A draft was presented to the Board but deferred.	Review pending
17. Code of Conduct	Oct 19, 2017	Nov 2, 2017	Identical with exception of one immaterial difference in wording (Vice-Chair vs. <i>Board</i> Vice-Chair).	None (minor difference to be addressed upon next review)
18. Election of Board Officers Policy	Dec 20, 2018	Dec 6, 2018	The two Boards purposely adopted slightly different election processes with slightly different timelines.	None
19. Gift Policy	April 19, 2018	April 5, 2018	Identical	None
20. Monitoring & Reporting Policy	Aug 16, 2012	Aug 2, 2012	Likely Identical after Dec JGC meeting	Likely none
21. Strategic Planning Policy	Aug 17, 2017	Jun 1, 2017	No material differences	Routine review pending
22. Vendor Selection Policy	Oct 17, 2019	May 3, 2012	P&F review in progress	P&F review in progress